

# Hierarchical Parametric Test Metrics Estimation: A $\Sigma\Delta$ Converter BIST Case Study

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**Abstract**—In this paper we propose a method for evaluating test measurements for complex circuits that are difficult to simulate. The evaluation aims at estimating test metrics, such as parametric test escape and yield loss, with parts per million (ppm) accuracy. To achieve this, the method combines behavioral modeling, density estimation, and regression. The method is demonstrated for a previously proposed Built-In Self-Test (BIST) technique for  $\Sigma\Delta$  Analog-to-Digital Converters (ADC) explaining in detail the derivation of a behavioral model that captures the main nonidealities in the circuit. The estimated test metrics are further analyzed in order to uncover trends in a large device sample that explain the source of erroneous test decisions.

## I. INTRODUCTION

Testing analog devices requires measuring the specified performances one by one and comparing them with their tolerance limits. This is the current practice because it is a head-on approach that guarantees the detection of out-of-specification devices while it accepts those that comply with the specifications. Any test escape or yield loss is due to measurement inaccuracies caused by, for example, tester calibration, software induced measurement instability and computation errors, contact issues in load boards and probe cards, multi-site tester differences, RF interference, etc. However, despite its high accuracy, specification-based testing incurs a very high cost.

Over the last two decades, several alternatives have been proposed towards reducing this cost. For example, structural testing relies on indirect tests that detect the presence of faults within the circuit [1], [2]. Other approaches include the compaction of specification-based tests [3], BIST [4], and alternate test techniques, wherein test measurements that are relatively easy to obtain are used to predict either the value of the specified performances through regression functions [5] or directly a Go/No-Go test decision through classifiers [6].

The aforementioned test alternatives provide a test decision that indicates the device quality, however there is hardly ever a proven equivalence between this test decision and the actual compliance or not to the specified performances. On one hand, there is a lack of widely acceptable analog fault models. On the other hand, it is highly unlikely that analog device performances would correlate perfectly with simple test measurements. To corroborate the claim that any test technique is equivalent to specification-based testing, it is needed to estimate the resulting parametric test escape and

yield loss with a high level of precision, ideally at ppm levels. Moreover, this needs to be accomplished at the design stage so that design decisions that are required to facilitate low cost measurements will not need to be reconsidered at a later stage, in particular because re-spinning the design may not be possible at all due to cost and time-to-market constraints.

Recently, density estimation was considered for the estimation of parametric test metrics with ppm precision at the design stage [7]. However, this approach necessitates statistical Monte Carlo simulation at transistor level, which is too time consuming for complex circuits, such as signal converters and phase locked loops. In contrast, in this paper, we present a generally applicable approach to the problem of test metrics estimation. The idea will be demonstrated on a state-of-the-art BIST technique for  $\Sigma\Delta$  ADC [8].

The remainder of the paper is organized as follows. In section II, we review previous work in statistical modeling of analog circuits. In, section III, we discuss the flow of the proposed method. Our case study is next described in section IV and results are presented in section V. Section VI concludes the paper with some directions for future work.

## II. STATISTICAL MODELING OF ANALOG CIRCUITS

Parametric test escape  $T_E$  and yield loss  $Y_L$  must be evaluated based on a set of devices that incur different combinations of design and process parameters (i.e. transistor geometry, flat band voltage, oxide thickness etc.). The design and process parameters are naturally sampled from their probability density function, thus resulting in an unbiased set of devices. Yet the size of the set needs to be very large in practice in order to obtain good estimates. To see this, consider a set of  $N$  devices. The Monte Carlo estimators of  $T_E$  and  $Y_L$  are given by

$$T_E^* = \frac{N_{C_g^c|T_p}}{N} \quad (1)$$

$$Y_L^* = \frac{N_{T_p^c|C_g}}{N} \quad (2)$$

where  $N_A$  is the count of the event  $A$ ,  $C_g$  is the event that a circuit is good and  $T_p$  is the event that a circuit passes the test (the superscript  $c$  denotes the complementary event). For example,  $C_g^c|T_p$  is the event that a circuit is faulty given that it passes the test. It can be shown that the variance of a Monte Carlo estimator  $T^*$  of a metric  $T$  is given by

$$\sigma_{T^*}^2 = \frac{T(1-T)}{N}, \quad (3)$$

which implies that an intractable number  $N$  of Monte Carlo circuit simulations is required to reduce the standard deviation  $\sigma_{T^*}$  to one order of magnitude less than  $T$ . For example, if  $T = 10^{-3}$  (or 1000 ppm), then  $N = 10^5$  in order to obtain  $\sigma_{T^*} = 10^{-4}$  (or 100 ppm). A confidence level that  $T^*$  lies within  $\epsilon$  of the true value  $T$  is given by Chebyshev's inequality

$$P\{|T^* - T| < \epsilon\} \geq 1 - \frac{\sigma_{T^*}^2}{\epsilon^2} \geq 1 - \frac{1}{4N\epsilon^2}, \quad (4)$$

which shows that  $N$  must be quadrupled to achieve twice the accuracy. The foregoing discussion suggests that circuit simulation should be substituted by fast simulation of an approximative model of the circuit.

The problem of accelerating circuit simulation is met in various contexts, including design centering and sizing, design space exploration, verification, test stimuli optimization, fault simulation, test metrics estimation etc. Recent solutions find their roots in sensitivity analysis [9], density estimation [7], behavioral modeling [10], response surface modeling (e.g. regression) [11], and symbolic modeling with genetic programming [12].

Test metrics estimation with ppm accuracy is discussed in [7]. The idea is to extract the statistical law that gives rise to circuit simulation data. One disadvantage of this technique is that it requires to have access to initial simulation data from a number of circuits. This number increases exponentially with the number of test measurements and performances, in order to increase the approximation accuracy of the statistical law at its tails. In practice, good estimates can be obtained with a reasonable number of simulations, but still this number is prohibitive for complex circuits.

### III. HIERARCHICAL PARAMETRIC TEST METRICS ESTIMATION

Our approach to test metrics estimation for complex circuits is based on a combination of behavioral modeling, density estimation, and regression. Formally, let  $A = [a_1, \dots, a_{N_a}]$  be the vector of low-level design and process parameters and  $X = [P, M] = [x_1, \dots, x_{N_x}]$  be the vector of performances  $P = [p_1, \dots, p_{N_p}]$  and test measurements  $M = [m_1, \dots, m_{N_m}]$ ,  $N_x = N_p + N_m$ . The problem lies in developing a model  $F$  of the target circuit

$$X = [P, M] = F(A, I) \quad (5)$$

that can be easily simulated, where  $I$  denotes the stimuli required for obtaining  $X$ .

We first divide the circuit into blocks and, for each block, we develop behavioral models that capture the pertinent parameters which influence  $P$  and  $M$ , including the main non-idealities and non-linearities. Let  $B = [b_1, \dots, b_{N_b}]$  denote the vector of behavioral-level parameters. Still, it could be the case that the  $N \gg 1$  behavioral simulations cannot be

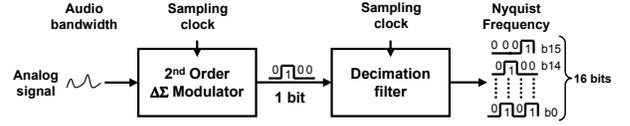


Fig. 1. Block diagram of a second-order  $\Sigma\Delta$  ADC.

completed in reasonable time. In this scenario, another level of approximation needs to be added to accelerate behavioral simulation. For this purpose,  $N_X$  regression functions  $g_j : B \mapsto x_j$ ,  $j = 1, \dots, N_X$ , are learned that map  $B$  to  $X$ .

To perform a statistical analysis starting from  $A$ , it is necessary to model the mapping  $g' : A \mapsto B$ . One approach is to carry out standard circuit simulation following a divide and conquer approach, wherein the different blocks of the circuit are simulated separately (or in appropriate groups in case there are block-level parameters that are interdependent). However, this step can be very time consuming given the ultimate objective to simulate  $N \gg 1$  circuit instances. For this purpose, we carry out only  $n$  simulations. The resulting  $n$  observations  $B_{n \times N_b}^0$  of  $B$  serve for estimating the probability density function  $f_B(B)$  of  $B$ . We follow the approach proposed in [7]. In particular, we use a nonparametric kernel density estimator which can approximate any underlying density even in the case where its marginals have distinct parametric forms. Subsequently, the density is sampled to generate a population  $B_{N \times N_b}^1$  of  $N \gg 1$  samples that is practically indistinguishable from the devices generated by a circuit simulator. In essence, this allows us to propagate the statistical distribution of  $A$  to  $B$  and perform the statistical analysis based on the intermediate behavioral-level parameters. The interested reader is referred to [7] for more details regarding the density estimation and sampling approaches.

The density  $f_B(B)$  is also sampled  $n'$  times (typically  $n' > n$ ) to generate a population  $B_{n' \times N_b}^2$  that will be used in the training set for building the regression functions  $g_j$ . Unlike the sampling of  $B_{N \times N_b}^1$  which is carried out with probability density function  $f_B(B)$ , the sampling of  $B_{n' \times N_b}^2$  must be carried out such that it spans the feasible space of  $B$ . Next,  $n'$  behavioral simulations are carried out using  $B_{n' \times N_b}^2$ , which result accordingly in a population  $X_{n' \times N_x}^2$  of vectors  $X$ . The training set  $(B_{n' \times N_b}^2, X_{n' \times N_x}^2)$  is used to build the regression functions  $g_j$ .

Finally, the regression functions  $g_j$  are used to obtain the population  $X_{N \times N_x}^1$ : the  $j$ -th column of  $X_{N \times N_x}^1$  is defined by  $g_j(B_{N \times N_b}^1)$ . Test metrics are calculated at ppm levels of accuracy based on the high-volume data in  $X_{N \times N_x}^1$  using (1) and (2).

### IV. CASE STUDY: $\Sigma\Delta$ ADC

Oversampling  $\Sigma\Delta$  ADCs have become very popular for high-resolution, medium-to-low speed applications. Oversampling dramatically relaxes the precision requirement for the analog circuitry at the expense of more complicated digital circuitry. This is very convenient for nanometer tech-

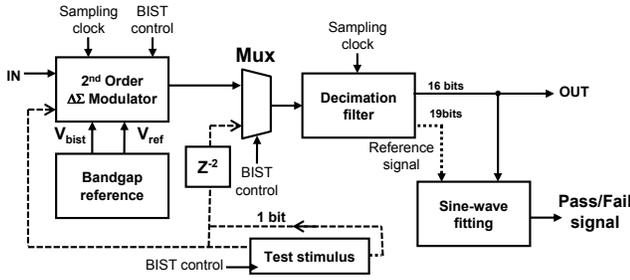


Fig. 2. BIST technique under evaluation.

nologies for which analog design is becoming ever more difficult due to reduced power supplies. In addition,  $\Sigma\Delta$  modulation shapes the conversion quantization noise out of the frequency band of interest. The block-level schematic of a second order  $\Sigma\Delta$  ADC is shown in Fig. 1. As is common in the analysis of  $\Sigma\Delta$  ADC, we assume that the modulator output is filtered by a brick-wall filter with a gain of 1 in the signal band and 0 elsewhere. Testing  $\Sigma\Delta$  modulators is a costly task due to the need of generating a high precision analog test signal and the requirement to acquire a large number of output digital samples. To this end, a promising BIST technique has been presented in [8] that considers purely digital test stimuli and largely simplifies the on-chip implementation of the sine-wave fitting algorithm. The reader is referred to [8] for a comprehensive overview of BIST techniques proposed to date for  $\Sigma\Delta$  ADCs.

#### A. BIST Technique Under Evaluation

In this work, we evaluate the technique in [8] in terms of the resulting test metrics. The technique aims to measure the SNDR performance of switched-capacitor (SC)  $\Sigma\Delta$  modulators. The test stimulus is an analog sinusoidal signal encoded as a binary stream with a 19-bit precision and stored into a digital linear feedback shift register. As shown in Fig. 2, this digital stimulus is first sent directly to the decimation filter in order to provide a high precision digital reference signal. Next, it is sent to the modulator which is now connected to the decimation filter via the multiplexer. In this step, the digital stimulus is converted and attenuated by a 1-bit Digital-to-Analog Converter (DAC) using voltages  $V_{bist}$  and  $V_{ref}$  that are provided by a bandgap generator already existing in the modulator. Since the signal undergoes a delay of two clock periods through the modulator, a  $z^{-2}$  delay block is considered during the generation of the reference signal. This way, the response of the converter to the test stimulus is synchronized with the reference signal. As a result, no phase response needs to be calculated by the sine-wave fitting algorithm, and the response analysis resources are largely simplified.

The modulator is implemented in an  $0.13 \mu\text{m}$  CMOS technology and it is suitable for audio converter applications that must have 16 bits of resolution or, equivalently, an output SNDR of at least 96 dB. Silicon results show that excellent correlation is obtained between the embedded self-test and a sinusoidal standard test, resulting in an SNDR error smaller than 1 dB. However, since the converter cannot be

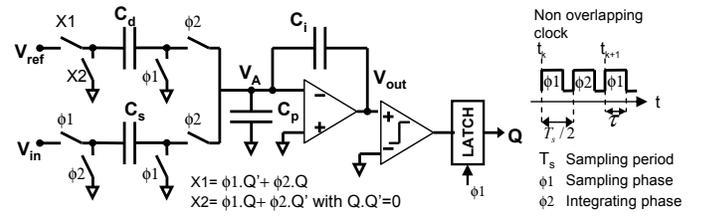


Fig. 3. First-order SC  $\Sigma\Delta$  modulator.

stimulated with a full scale analog signal encoded in the bit stream, the capability of the BIST technique to detect all types of parametric deviations remains to be demonstrated. In particular, parametric deviations that induce small signal distortions may be hard to detect with test signals that are not full scale.

#### B. Behavioral model of first-order SC $\Sigma\Delta$ Modulator

This section presents a time-domain behavioral model of a first-order SC  $\Sigma\Delta$  modulator (MOD1) following the approach proposed in [13]. Fig. 3 shows the circuit schematic along with the clock signals that activate the switches within an interval  $[t_k, t_{k+1}]$  of length  $T_s = 1/f_{clk}$ , where  $f_{clk}$  is the clock frequency. To compute the integrator's output response at each clock period, we consider the main nonidealities of the operational amplifier (finite open-loop gain  $A_0$ , finite unity gain frequency  $\omega_t$ , slew-rate  $SR$ , saturation levels, input parasitic capacitance  $C_p$  and thermal noise) as well as the variation of the gains of the signal path  $G_1 = C_s/C_i$  and of the feedback  $G_2 = C_d/C_i$ . The input signal  $v_{in}$  is slowly varying such that within a clock interval it does not change appreciably, i.e.  $v_{in}(t) = v_{in}(t_k)$ , for  $t \in [t_k, t_{k+1})$ . Without loss of generality, consider  $Q = 0$ . The integrator's output at each integration phase involves taking into account the charge sampled in the different capacitors during phase  $\phi_1$  and then applying the charge conservation at node A after  $\phi_2 \rightarrow 1$ . At  $t \in [t_k + T_s/2, t_k + T_s/2 + \tau)$  we have

$$C_t v_A(t) - C_i v_{out}(t) = -C_s v_{in}(t_k) - C_d V_{ref} + (C_p + C_i) v_A(t_k) - C_i v_{out}(t_k) \quad (6)$$

where  $C_t = C_s + C_d + C_i + C_p$ .

In addition, we assume a single-pole amplifier model

$$-v_A(t) = \frac{v_{out}(t)}{A_0} + \frac{1}{\omega_t} \cdot \frac{dv_{out}(t)}{dt}. \quad (7)$$

Combining (6)-(7), we obtain a first-order differential equation of the integrator output

$$\begin{aligned} \frac{C_t}{\omega_t} \cdot \frac{dv_{out}(t)}{dt} + \left( \frac{C_t}{A_0} + C_i \right) v_{out}(t) = & \\ C_s v_{in}(t_k) + C_d V_{ref} & \\ + \left( \frac{C_i + C_p}{A_0} + C_i \right) v_{out}(t_k) & \\ + \frac{C_i + C_p}{\omega_t} \cdot \frac{dv_{out}(t)}{dt} \Big|_{t=t_k+T_s/2} & \end{aligned} \quad (8)$$



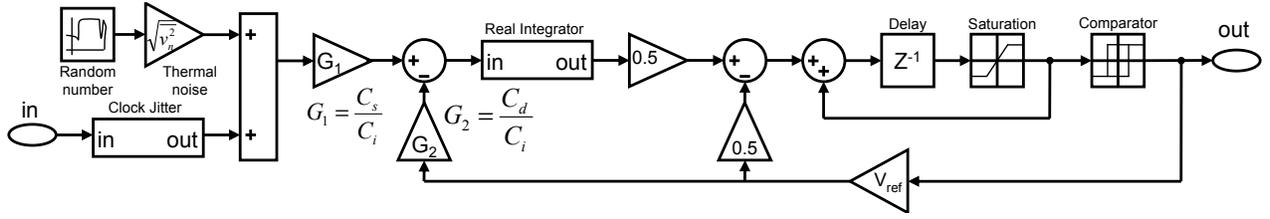


Fig. 6. Low-pass second-order  $\Sigma\Delta$  modulator model.

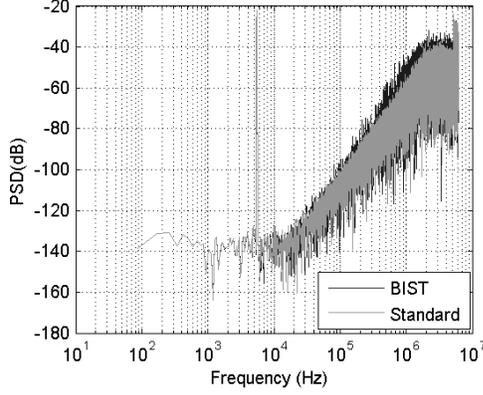


Fig. 7. Modulator output spectrum.

### C. Behavioral model of second-order SC $\Sigma\Delta$ Modulator

The behavioral model of the second-order SC  $\Sigma\Delta$  modulator (MOD2) is constructed based on the behavioral model of MOD1, as shown in Fig. 6. An ideal second stage is included between the first integrator and the comparator. Only the nonidealities of the first stage are considered since most nonidealities in the second stage are attenuated due to noise shaping [13]. The total noise and clock jitter are superimposed to the input voltage. In summary, the vector  $B$  comprises 14 parameters, namely  $A_o$ ,  $\omega_t$ ,  $SR$ , positive and negative saturation levels,  $C_i$ ,  $C_s$ ,  $C_d$ ,  $C_p$ ,  $R_\alpha$ ,  $R_d$ ,  $R_s$ , jitter, and comparator's offset. The complete model is built and simulated in MATLAB SIMULINK. A single behavioral simulation to calculate SNDR lasts about 3 sec on an Intel Core2 2.40-GHz PC. Fig. 7 shows the FFT of the output bit stream of the behavioral model for two type of inputs, a perfect analog sinusoidal (standard test) and a high resolution digital sinusoidal encoded in a bit stream (BIST).

### D. Extraction of $B_{n \times N_B}^0$

The initial population  $B_{n \times N_B}^0$  of behavioral parameters is extracted from transistor level simulation. Certain behavioral parameters require special test benches. This section aims at describing the different test benches used for the simulation.

1)  $A_0$ ,  $\omega_t$ , and capacitors: The standard test bench for measuring  $A_0$  has an open-loop configuration and will not work in the presence of mismatch because the equivalent input DC offset  $V_{off}$  will often saturate the outputs, thus resulting in erroneous values for  $A_0$  and  $\omega_t$ . To tackle this problem, we have used the test bench of Fig. 8. In this case, the DC differential output voltage is forced to be zero without affecting the calculation of  $A_0$  and  $\omega_t$ . In addition,

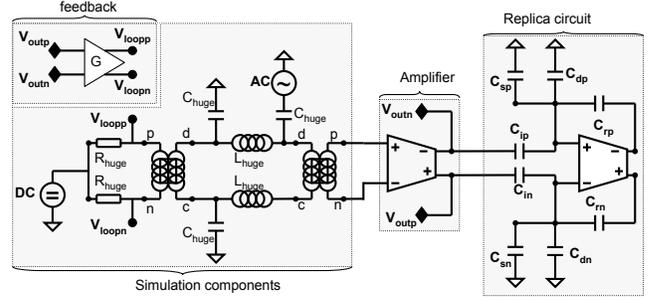


Fig. 8. Test bench in the presence of mismatch.

we consider a replica of the integrator in order to emulate the application environment and to obtain at the same time the variation in the values of capacitors.

2) *Noise*: As mentioned in section IV-B.3, the intrinsic noise within the operational amplifier is translated to an equivalent input noise resistance  $R_\alpha$ . To calculate  $R_\alpha$ , we use the precedent test bench with the AC source replaced by a noise source. The resulting value is

$$R_\alpha = \frac{\overline{v_{n,amp}^2}}{4KT_c} \quad (22)$$

where  $\overline{v_{n,amp}^2}$  denotes the mean square input-referred amplifier noise voltage computed over its frequency band (100kHz-100MHz).  $R_s$  and  $R_d$  in (20) are extracted using a simple DC analysis.

3) *SR*: To compute the  $SR$ , the amplifier is configured in open-loop and is set to its maximal negative differential output voltage (we consider only the rise time). A step is then applied at the input in order to invert the output state of the amplifier. The time spent between 10% and 90% of the final output value is used to compute  $SR$ .

4) *Jitter*: We assume a clock jitter with zero mean and standard deviation  $\Delta\tau = 200psec$ .

5) *Comparator offset*: The offset is simply the differential output of the comparator when it is configured in closed-loop with unity gain.

## V. TEST METRICS ESTIMATION

The experiment is carried out with  $n = 10^3$ ,  $n' = 10^4$  and  $N = 10^6$  (see section III). In our case,  $P = p_1$  is the standard SNDR measurement, which uses a sinusoidal of amplitude equal to the full dynamic range, and  $M = m_1$  is the SNDR computed using the binary stream as test stimulus. The specification limit is set at 96 dB, that is the converter must have at least 16 bits of resolution. However, the BIST binary

stimulus has a lower amplitude in order to avoid overloading the modulator as discussed in the introduction of section IV, which makes the corresponding test limit lower by 12 dB. Therefore, the events  $C_g$  and  $T_p$  occur when  $p_1 > 96$  dB and  $m_1 > 84$  dB, respectively. The elapsed time to obtain the density estimate  $f_B(B)$  and to generate  $N = 10^6$  samples from it using MATLAB was approximately 25 minutes on an Intel Core2 2.40-GHz PC. The mappings  $g_1 : B \mapsto p_1$  and  $g_2 : B \mapsto m_1$  can be trained using a Feed Forward Neural Network (FFNN) in a few minutes and have a mean absolute error of less than 0.5 dB when they are used to predict the SNDR of new devices.

The resulting test metrics estimates are  $T_E = 1.16\%$  and  $Y_L = 0.73\%$  using the proposed technique. These values are at first glance unacceptable, which implies that the BIST technique cannot replace the standard test without affecting test accuracy. Notice that the design yield is estimated to be  $Y = 98.37\%$ , thus about 30% of devices with excessive parametric deviations causing performance failure are detected.

The method allows us to explain the obtained test metrics. In particular, we can use the large population  $B_{N \times N_B}^1$  of one million devices to uncover trends in the behavioral-level parameters of circuits that give rise to  $T_E$  and  $Y_L$ . Our findings show that the most influential behavioral-level parameters are  $\omega_l$  and the gains  $G_1$ ,  $G_2$ . Specifically, we noticed that test escape is a consequence of having a low  $\omega_l$ . In this case, the settling time  $\lambda \omega_l$  is small affecting significantly the integration of the analog input sinusoidal (standard test) and, thereby, resulting in  $p_1 < 96$  dB. In contrast, this effect is less apparent for the binary input stimulus (BIST) since the sampled voltage values are always the same. In this case,  $m_1$  remains well above the test limit of 84 dB, thereby resulting in false acceptance. If we eliminate the devices with low  $\omega_l$  from  $B_{N \times N_B}^1$ , it turns out that  $Y = 99.81\%$ ,  $T_E = 0.25\%$ , and  $Y_L = 0.17\%$ . Moreover, we noticed that yield loss is primarily due to large positive deviations of  $G_1$  and  $G_2$ . In fact, we considered global (instead of local) capacitor mismatches, which have resulted in a rather unrealistic value for the gains  $G_1$  and  $G_2$ . High gains cause an overload of the modulator, which in turn reduces its capability to shape the noise out of its bandwidth. In this case,  $m_1$  becomes too pessimistic, thus giving rise to yield loss. After eliminating the devices with large  $G_1$  and  $G_2$ , the test metrics turn out to be  $Y = 99.93\%$ ,  $T_E = 0.069\%$ , and  $Y_L = 0.005\%$ .

## VI. CONCLUSION

In this paper, we have proposed a complete method to evaluate test techniques at the design stage for complex, hard-to-simulate circuits. The underlying idea is to develop a statistical model of the circuit under test, which can be simulated very fast. Thereafter, a large volume of data is generated which can be used to estimate test metrics with parts per million accuracy. To achieve this, we use a combination of behavioral modeling, density estimation, and regression. The method is demonstrated on a BIST technique for  $\Sigma\Delta$  ADCs and allows us to pinpoint the combination of behavioral-level parameters that give rise to parametric test

escape and yield loss. Future work will aim to study if these behavioral-level parameter combinations are realistic for the case of a robust design. Eventually the BIST technique might need to be refined based on these observations. Furthermore, we are planning to use the method in order to compare the array of different test techniques proposed to date for  $\Sigma\Delta$  ADCs, thus providing guidelines about the feasibility of the approaches.

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